444

14. 14...41 14...41

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032/

k Office; U.S. DEPARTMENT OF COMMERCE unless it displays a valid OMB control number.

Under the Paperwork Reduction Act of

 $\oplus$ U.S. Patent and Trade Please type a plus sign (+) inside this to persons are required to respond to a collection of info

Attorney Docket No.

4492P1003US Martin Bleck

First Inventor

See 1 in Addendum Title

nnlications under 37 CFR 1.53(b))

UTILITY

PATENT APPLICATION

TRANSMITTAL

Express Mail Label No. EK944579146US

(Only for new nonprovisional	l applications under 37 CFR 1.53(b))			Accistan	t Commission	er for Paten					
	ION ELEMENTS		DDR	ESS TO: Box Pa	tent Application gton, DC 2023						
See MPEP chapter 600 conce	rning utility patent application content	ts.		CD-ROM or CD-R in de							
Fee Transmittal Fo	rm (e.g., PTO/SB/17)		ــا ٠	Computer Program (Ap	pendix)						
1. (Submit an original and a du	1. (Submit an original and a duplicate for fee processing)  Applicant claims small entity status.			8. Nucleotide and/or Amino Acid Sequence Submission							
See 37 CFR 1.27.		_	(if ap	plicable, all necessary)		ļ					
Specification	[Total Pages 52]		a	Computer Readable F							
- Descriptive title	of the invention		b. Specification Sequence Listing on:								
- Descriptive title of the invention - Cross Reference to Related Applications			i. CD-ROM or CD-R (2 copies); or								
- Statement Rega	- Statement Regarding Fed sponsored R & D				i i. paper						
or a computer p	- Reference to sequence listing, a table, or a computer program listing appendix			c. Statements verifying identity of above copies							
- Background of	the Invention	T	ACCOMPANYING APPLICATION PARTS								
- Brief Summary - Brief Description	<ul> <li>Brief Summary of the Invention</li> <li>Brief Description of the Drawings (if filed)</li> </ul>			[77]							
- Detailed Descri	ption										
- Claim(s) - Abstract of the	Disclosure		10. 37 CFR 3.73(b) Statement Power of Attorney								
	10	l, I	11.	English Translation D		pplicable)					
4. X Drawing(s) (35 U	.S.C. 113) [ Total Sheets 19	ן נו		Information Disclosu	re X	ן Copies of IDS					
5. Oath or Declaration	[ Total Pages 5	] ]	12.	Statement (100)/1 13		Citations					
Newly execu	ited (original or copy)		13.			7					
Conv from a	nrior application (3/ CFR 1.53 (0))	)	14. 3	Return Receipt Post (Should be specifica	card (MPEP 5 Ilv itemized)	03)					
1	tion/divisional with Box 18 completed	,		ויייים אם שלם שושטווטו	iority Documen	ıt(s)					
i. DELET	ION OF INVENTOR(S)		15	Certified Copy of Pr (if foreign priority is	claimed)						
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR  16. Request and Certification under 35 U.S.C. 122					O.S.C. 122						
named in the prior application, see 37 CFR  1.63(d)(2) and 1.33(b).  1.63(d)(2) and 1.33(b).  1.63(d)(2) and 1.33(b).  1.63(d)(2) and 1.33(b).						om r rordord					
6. Application Data	17 Other:					• • • • • • • • • • • • • • • • • • • •					
· · · · · · · · · · · · · · · · · · ·			_		nd in a prelimir	any amendment					
18. If a CONTINUING APPL	CATION, check appropriate box, and	supply th	ie requ	isite information below a	nu in a premim	ary differentiations,					
or in an Application Data Sho		(CIP)	0	f prior application No.: $0$	9 / 300,610	)					
X Continuation		(0)	·	Group Art Unit: 1741							
Prior application information.											
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an out- Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.											
The incorporation can only be	relied upon when a portion has been 1		.,								
	THE COURT OF THE C	PARKET S		283							
Customer Number or Bar C	Code Label (Insert) Gustomer No. or Al	achbai <b>cc</b> ol	label he	or X	Correspondence ad	idress delow					
		er te de la companya	VALCO A ZERA	STANSON AND ADDRESS OF THE PARTY OF THE PART		•					
Name	Robert B. Polit, Polit & Asse	ociates I	LLC								
	3333 Warrenville-Road-										
Address	Suite 200	Ct	ate		Zip Code	(0522					
City	Lisle	T 36		Π	<u> </u>	60532					
Country		Teleph	one	630-799-8335	Fax	630-799-8336					
		$\overline{}$	Regi	istration No. (Attorney	/Agent) 33 9	)					
Name (Print/Type)   Robert B. Pout /											
Signature	HOW D	41				ch 16, 2001					
	a de comple	Time W	ill vary d	legending upon the needs o	it the individual C	ase. Any comments o					

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Bleck, Martin; Haugen, Kenneth C.; Radloff, Larry R.; and Geyer, Harry

Application No.: Unassigned
Filed: 03/16/2001

For: Electrode Smeiconductor Workpiece Holder and Processing Methods

Assistant Commissioner for Patents Washington, D.C. 20231

## **EXPRESS MAIL CERTIFICATE**

4:7	"Express Mail" label number EK944579146US
1	Date of Deposit 03/16/2001
haft sells, sells	I hereby state that the following attached papers
	Utility Patent Application Transmittal
(I)	Specification from Parent Application USSN 09/300,610
#===	Drawings from Parent Application USSN 09/300,610
3	Declaration from Parent Application USSN 09/300,610
423	Assignment from Parent Application USSN 09/300,610
	Preliminary Amendment
	Information Disclosure Statement with cited references
<u> </u>	Fee Transmittal
	Postcard
<u> </u>	

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. section 1.10, on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Janice Burdette

Signature of person mailing paper or fee

Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE	<b>TRANSMITT</b>	AL
•	for FY 2000	

Patent fees are subject to annual revision. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT

(\$)710.00

C mplete if Known					
Application Number					
Filing Date	March 16, 2001				
First Named Inventor	Martin Bleck	·			
Examiner Name	Unassigned				
Group / Art Unit					
Attorney Docket No.	4492P1003US				

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)							
The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:	3. ADDITIONAL FEES  Large EntitySmall Entity Fee							
Deposit 60.1606	Code	Fee (\$)	Fee Cod	Fee e (\$)	Fee	Description	n	Fee Paid
Account Number 50-1606	105	130	205	65	Surcharge - late	filing fee or o	path	0.00
Deposit Account Polit & Associates LLC	127	50	227	25	Surcharge - late cover sheet.	provisional fil	ling fee or	0.00
Name Full & Associates LLC		130	139	130	Non-English spe	0.00		
Charge Any Additional Fee Required		2,520	147	2,520	For filing a requ	est for reexa	0.00	
2. Payment Enclosed: Check Money Order Other  FEE CALCULATION		920*	112	920*	Requesting publication of SIR prior to Examiner action			0.00
		,840*	113	1,840	Requesting publication of SIR after Examiner action			0.00
		115 110 215 55 Extension for reply within first month					month	0.00
	116	380	216	190	Extension for reply within second month			0.00
1. BASIC FILING FEE Large Entity Small Entity	117	870	217	435	Extension for rep	ktension for reply within third month		
Fee Fee Fee Fee Description	118 1	,360	218	680	Extension for rep	Extension for reply within fourth month		
Code (\$) Code (\$) Fee Paid  101 690 201 345 Utility filing fee 710 00	128 1	,850	228	925	Extension for rep	oly within fifth	month	0.00
101 690 201 345 Utility filing fee 710.00	119	300	219	150	Notice of Appeal			0.00
107 480 207 240 Plant filing fee	120	300	220	150	Filing a brief in s	upport of an	appeal	0.00
108 690 208 345 Reissue filing fee	121	260	221	130	Request for oral	hearing		0.00
114 150 214 75 Provisional filing fee	138 1	,510	138	1,510	Petition to institut	te a public us	se proceeding	0.00
	140	110	240	55	Petition to revive	- unavoidabl	e	0.00
SUBTOTAL (1) (\$) 710.00		,210	241	605	Petition to revive	etition to revive - unintentional		
2. EXTRA CLAIM FEES		,210	242	605	Utility issue fee (or reissue)			0.00
Fee from Ext <u>ra Claims below Fee Paid</u>	143	430	243	215	Design issue fee	!		0.00
Total Claims 8 -20** = 0 x 18 = 0 Independent 3 - 3** = 0 x 80 = 0		580	244	290	Plant issue fee			0.00
		130	122	130	Petitions to the Commissioner			0.00
Multiple Dependent =0	123	50	123	50	Petitions related	to provisional	l applications	0.00
**or number previously paid, if greater; For Reissues, see below	126	240	126	240	Submission of Information Disclosure Stmt			0.00
Large Entity Small Entity Fee Fee Fee Fee Description Code (\$) Code (\$)		40	581	40	Recording each patent assignment per property (times number of properties)			0.00
103 18 203 9 Claims in excess of 20	146	690	246	345	Filing a submissi		rejection	
102 78 202 39 Independent claims in excess of 3	149	690	249	345	(37 ČFR § 1.129 For each addition		to he	0.00
104 260 204 130 Multiple dependent claim, if not paid				•	examined (37 CF			0.00
109 78 209 39 ** Reissue independent claims over original patent		Other fee (specify)						0.00
- 110- 18 210 9 - **-Reissue-claims-in-excess-of-20 and over original patent		Other fee (specify)						0.00
SUBTOTAL (2) (\$) 0.00	· Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0.00						00	
SUBMITTED BY Complete (if applicable)								
Name (Print/Type) Robert B. Polit Registration (Attorney/Age				No.	33,993		630-799-	8335
Signature Date March 16, 2001								

WARKING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Electrode Semiconductor Workpiece Holder and Processing Methods